none for

ORIGINAL

IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF PENNSYLVANIA

Case 1:01-cv-01157-CCC Document 165 Filed 07/14/2003 Page 1 of 5

VINCENZO MAZZAMUTO,

Plaintiff,

CIVIL ACTION

NO. 1:CV-01-1157

FILED HARRISBURG, PA

JUL 1 4 2003

V.

JUDGE CONNER

UNUMPROVIDENT CORPORATION, et al.,

Defendants

MARY E. D'ANDREA, CLERK Per Deputy Clerk

DEFENDANTS' MOTION IN LIMINE ADDRESSING EX PARTE COMMUNICATIONS BY PLAINTIFF'S COUNSEL IN APPARENT VIOLATION OF RULES OF PROFESSIONAL CONDUCT

Defendants UNUMProvident Corporation, Paul Revere Life Insurance Company and New York Life Insurance Company ("Defendants") hereby move in limine to exclude the evidence of the waiver of life insurance premiums by New York Life from trial.

Plaintiff's counsel Richard C. Angino has apparently violated

Pennsylvania Rule of Professional Conduct 4.2 when he engaged in *ex parte*communications after the commencement of this lawsuit with representatives of

Defendant New York Life Insurance Company, a represented party, to demand ---

Case 1:01-cv-01157-CCC Document 165 Filed 07/14/2003 Page 2 of 5

under threat of a bad faith claim -- the continuation of the waiver of premium under a life insurance policy issued by New York Life which is separate and distinct from the disability policy at issue in this case.

While Defendants believe that the waiver of premium under the life insurance policy is wholly irrelevant, <u>Plaintiff's</u> position is that the waiver is relevant, and the Court has agreed. Accordingly, given Plaintiff's position on relevance, Plaintiff's counsel should have obtained the consent of New York Life's counsel of record before communicating directly with New York Life. Such consent was not requested and, in any event, would not have been provided.

Plaintiff's counsel further violated the Court's discovery order by demanding the production of documents from a party (again, while threatening a bad faith claim) well after the close of discovery. For these reasons and those set forth in Defendants' Memorandum of Law in Support of this motion, which is incorporated herein in its entirety, Defendants' motion should be granted.

WHEREFORE, for the reasons set forth above and in the accompanying Memorandum of Law, Defendants respectfully request this Court to grant their motion in limine.

Dated: July 14, 2003

STEVENS & LEE

By C. Mana Henefer

E. Thomas Henefer

Attorney I.D. No. 55773

Kirk L. Wolgemuth

Attorney I.D. No. 45792

111 North Sixth Street

P.O. Box 679

Reading, Pennsylvania 19603

(610) 478-2000

Attorneys for Defendants UNUM Provident Corporation, Paul Revere Insurance Company, and New York Life Insurance Company Case 1:01-cv-01157-CCC Document 165 Filed 07/14/2003 Page 4 of 5

CERTIFICATE OF SERVICE

I, E. Thomas Henefer, Esquire, certify that on this date, I served a certified true and correct copy of the foregoing Motion upon the following counsel of record, by hand delivery to the following address:

Richard C. Angino, Esquire 4503 North Front Street Harrisburg, PA 17110-1708

E. Thomas Henefer

Date: July 14, 2003

Case 1:01-cv-01157-CCC Document 165 Filed 07/14/2003 Page 5 of 5

CERTIFICATE OF NON-CONCURRENCE

I, E. Thomas Henefer, Esquire certify pursuant to Local Rule 7.1 that

Plaintiff's counsel does not concur in the foregoing motion.

E. Thomas Henefer

Dated: July 14, 2003